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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/581,247

05/31/2006

Koji Nakayama

925-342

1791

23117

7590

03/13/2009

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EXAMINER

GREEN, TELLY D

ART UNIT

PAPER NUMBER

2822

MAIL DATE

DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/581,247	<b>Applicant(s)</b> NAKAYAMA ET AL.	
	<b>Examiner</b> TELLY D. GREEN	<b>Art Unit</b> 2822	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 December 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 13-15, 19 and 20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13-15, 19 and 20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>2/18/2009</u> .   | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Response to Arguments*

Applicant's arguments with respect to claims 13-15, 19 and 20 have been considered but are moot in view of the new ground(s) of rejection. Claim 18 has been cancelled. Action on the merits is as follows:

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims **13-15, 19 and 20** are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiomi et al. (Shiomi) (**EP 1,215,730 A1**) in view of Takahashi et al (Takahashi) (**US 2003/0080384 A1 now US 6,995,396 B2**).

In regards to claim 13, Shiomi discloses (**Fig. 6, page 9, paragraph 82 to page 10, paragraph 92**) a substrate (**item 2**) in which a surface having a specified off-angle from a (000-1) carbon surface of a crystal of a first-conductive-type silicon carbide semiconductor whose base material is silicon carbide, which is a compound of carbon and silicon is formed; and at least one drift layer (**item 6**) which is formed on the surface of the substrate at a specified formation rate with a first- or second-conductive-type silicon carbide semiconductor, where the surface of the substrate having the specified off- angle is taken as the crystal growth surface of the substrate (**Fig. 6, page 9, paragraph 82 to page 10, paragraph 92**) a substrate (**item 2**).

**In regards to claim 14**, Shiomi discloses including at least one layer (**items 4, 16**) of a first- or second-conductive-type silicon carbide semiconductor formed on the drift layer (**item 6**) (**Fig. 6, page 9, paragraph 82 to page 10, paragraph 92**).

**In regards to claim 15**, Shiomi discloses (**Fig. 6, page 9, paragraph 82 to page 10, paragraph 92**) wherein the substrate serves as a cathode (**items 14, 2**) and the bipolar semiconductor device further includes a semiconductor layer (**items 16, 12**) which is formed on the drift layer and which is of a second-conductive-type silicon carbide to serve as an anode.

**In regards to claim 19**, Shiomi discloses (**Fig. 6, page 9, paragraph 82 to page 10, paragraph 92**) wherein the film that is to serve as a drift layer (**item 6**) and that is formed by epitaxial growth of silicon carbide is formed at a film growth rate having a film-thickness increasing rate per hour h of 10 pm/h or more.

The applicant has not established the critical nature of having a film-thickness increasing rate per hour h of 10 pm/h or more. “The law is replete with cases in which the difference between the claimed invention and the prior art is some range or other variable within the claims. In such a situation, the applicant must show that the particular range is critical, generally by showing that the claimed range achieves unexpected results relative to the prior art range.” In re Woodruff, 919 F.2d 1575, 16 USPQ2d 1934 (Fed. Cir.1990). However, these limitations are product-by-process limitations.

**"Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable**

**even though the prior product was made by a different process."** In re Thorpe, 777 F. 2d 695, 698, 227 USPQ 964, 966 (fed Cir. 1985).

**In regards to claim 20**, Shiomi discloses **(Fig. 6)** including a buffer layer **(item 4)** formed between the substrate **(item 2)** and the drift layer **(item 6)**.

Shiomi does not specifically disclose wherein the off-angle is within a range of 2 to 10 degrees from the (000-1) carbon surface.

**In regards to claim 1**, Takahashi **(paragraphs 4, 31, 34, 39, 130, 148, 174, 198, 221, 266, 335, 352, 354, 356)** discloses wherein the off-angle is within a range of 0 to 10 degrees (2 to 10 degrees) from the (000-1) carbon surface.

Therefore it would have been obvious to one of ordinary skill to one in the art at the time of the invention to modify the off angle of Shiomi with the off angle of Takahshi for the purpose of suppressing macro steps applicable to growth of a material other than SiC **(paragraph 25)** and improving fabrication efficiency **(paragraphs 31, 34, 39)**.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TELLY D. GREEN whose telephone number is (571)270-3204. The examiner can normally be reached on Monday thru Friday 7:30 AM - 5:00 PM EST..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Zandra Smith can be reached on 571-272-2429. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2822

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Telly D Green/  
Examiner, Art Unit 2822  
March 3, 2009  
/Ida M Soward/

Primary Examiner, Art Unit 2822